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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Complete if Known**

<b>Application Number</b>	09/855,568
<b>Filing Date</b>	May 14, 2001
<b>First Named Inventor</b>	Ross, Kenneth J.
<b>Group Art Unit</b>	2152
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	006969-027710US

[illegible][illegible]

Examiner Signature		Date Considered	11/4/4
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet	1	of	3
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Art Unit	2152
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Examiner Name	Unassigned
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## U.S. PATENT DOCUMENTS

Examiner	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
<i>me</i>	AA	5,963,928	10-05-1999	Lee	
	AB	5,920,850	07-06-1999	Hunter et al.	
	AC	5,822,738	10-13-1998	Shah et al.	
	AD	5,793,867	08-11-1998	Cordery et al.	
	AE	5,781,438	07-14-1998	Lee et al.	
	AF	5,742,683	04-21-1998	Lee et al.	
	AG	5,715,164	02-03-1998	Liechti et al.	
	AH	5,688,056	11-18-1997	Peyret	
	AI	5,666,421	09-09-1997	Pastor et al.	
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	AK	5,625,694	04-29-1997	Lee et al.	
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	AS	4,853,961	08-01-1989	Pastor	
	AT	4,853,865	08-01-1989	Sansone et al.	
	AU	4,831,555	05-16-1989	Sansone et al.	
	AV	4,813,912	03-21-1989	Chickneas et al.	
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	AX	4,775,246	10-04-1988	Edelmann et al.	
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	BC	4,447,890	05-08-1984	Duwel et al.	

**Examiner  
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Date Considered

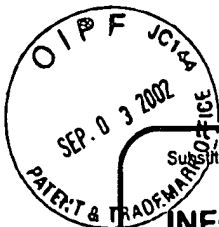
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 3

Complete if Known

Application Number	09/855,568	RECEIVED
Filing Date	May 14, 2001	
First Named Inventor	Ross, Kenneth J.	SEP 05 2002
Art Unit	2152	
Examiner Name	Unassigned	Technology Center 2100
Attorney Docket Number	006969-027710US	

### FOREIGN PATENT DOCUMENTS

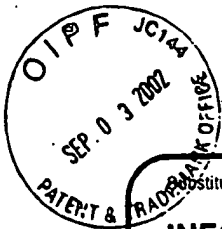
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
<u>h</u>	BD	EP	845 762	A2	06-03-1998			
	BE	EP	825 565	A2	02-25-1998			
	BF	WO	00/49580	A1	08-24-2000			
	BG	WO	98/20461	A2	05-14-1998			
	BH	WO	98/13790	A1	04-02-1998			
	BI	GB	1 536 403		12-20-1978			

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		Application Number	09/855,568		
		Filing Date	May 14, 2001		
		First Named Inventor	Ross, Kenneth J.		
		Art Unit	2152		
		Examiner Name	Unassigned		
Sheet	3	of	3	Attorney Docket Number	006969-02771003

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BJ	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999.	
	BK	"Information Based Indicia Program (IBIP) Indiciu Specification," United States Postal Service, dated June 13, 1996.	
	BL	"Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated June 13, 1996	
	BM	"Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated October 9, 1996	
	BN	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August 19, 1998	
	BO	United States Postal Service, "Performance Criteria For Information-Based Indicia And Security Architecture For Open IBI Postage Evidencing Systems," Information Based Indicia Program (IBIP), June 25, 1999 XP-002161216	
	BP	BARKER-BENFIELD, "First Union Offers Online Transactions," <u>Florida Times-Union</u> , 1/28/94.	
	BQ	FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, National Institute of Standards and Technology; pp:1-51.	

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